

09-25-00

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new non-provisional applications under 37CFR§1.53(b))</small>	Attorney Docket No.	KLR:7146.088
	First Inventor or Application Identifier	Errico et al.
	Title	METHOD FOR INTERPRETING AND EXECUTING USER PREFERENCES OF AUDIOVISUAL INFORMATION
	Express Mail Label No.	EL577408302US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification <small>(preferred arrangement set forth below)</small> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Pages] 48		<b>ACCOMPANYING APPLICATION PARTS</b>	
4. Oath or Declaration [Total Pages] 2 a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 16 completed)</small> i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>when there is an assignee</small> 9. <input type="checkbox"/> English translation document (if applicable) 10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(should be specifically itemized)</small> 13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 15. <input type="checkbox"/> Other	
<small>* Note for Items 1 &amp; 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</small>			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: ____/____ Prior application information: Examiner _____ Group No./Art Unit _____ <b>For CONTINUATION or DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>17. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell		
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City	Portland	State	OR
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	Telephone	(503)227-5631	FAX (503)228-4373
Name (print type)	Kevin L. Russell	Registration No.	38,292
Signature	[Signature]		Date September 22, 2000

JC849 U.S. PTO  
09/668777  
09/22/00

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT	\$1,780	Application Number	Complete If Known
		Filing Date	September 22, 2000
		First Named Inventor	Errico et al.
		Examiner Name	N/A
		Group/ Art Unit	N/A
		Attorney Docket No.	KLR 7146.088

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to: Deposit Account Number 03-1550 Deposit Account Name Chernoff Vilhauer McClung & Stenzel <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge-late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1840* 113 1840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 380 216 190 Extension for reply within second month 117 870 217 435 Extension for reply within third month 118 1,360 218 680 Extension for reply within fourth month 119 300 219 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,210 241 605 Petition to revive - unintentional 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 215 Design issue fee 144 580 244 290 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Statement 561 40 581 40 Recording each patent assignment per property (times number of properties) 146 690 246 345 Filing a submission after final rejection (37 C.F.R. 1.129(a)) 149 690 249 345 For each additional invention to be examined (37 C.F.R. 1.129(b)) Other (specify) Other (specify) * Reduced by Basic Filing Fee Paid	
1. BASIC FILING FEE Large Small Entity Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)		SUBTOTAL (3) \$40	
2. EXTRA CLAIM FEES Total Claims 61 -20* = 41 X 18 = 738 Indep. Claims 7 -3* = 4 X 78 = 312 Multiple Dependent *For number of previously paid, if greater. For reissues, see below. Large Small Entity Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)		SUBTOTAL (2) \$1,050	
103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependent claim, if not paid 109 78 209 39 *Reissue independent claims over original patent 110 18 210 9 *Reissue claims in excess of 20 and over original patent		SUBTOTAL (1) \$690	

SUBMITTED BY		Complete (if applicable)	
Name (print type)	Kevin L. Russell	Registration No.	38,292
Signature		Telephone	(503) 227-5631
		Date	September 22, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE UNDER 37 CFR §3.73(b)

10825 U.S. PTO  
09/668777  
09/22/00

Applicants: James Errico and Petrus Van Beek

Application No: N/A Filed: Concurrently herewith

Title: METHOD FOR INTERPRETING AND EXECUTING USER  
PREFERENCES OF AUDIOVISUAL INFORMATION

Sharp Laboratories of America, Inc. (Assignee) a Washington corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached. (Where one or more of the documents is unrecorded.)

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to sign this Certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of METHOD FOR INTERPRETING AND EXECUTING USER PREFERENCES OF AUDIOVISUAL INFORM, or any patent issuing thereon.

Date: September 22, 2000

Name: Kevin L. Russell

Title: Attorney for the Assignee (a copy of the Power of Attorney is attached)

Signature: \_\_\_\_\_

Chernoff, Vilhauer, McClung & Stenzel, LLP  
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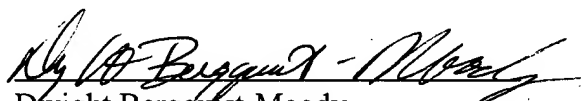
**CERTIFICATE OF MAILING  
BY EXPRESS MAIL**

JC825 U.S. PTO  
09/668777  
09/22/00

Express Mail No.: EL577408302US

Date of Deposit: September 22, 2000

I hereby certify that the patent application attached hereto entitled **METHOD FOR INTERPRETING AND EXECUTING USER PREFERENCES OF AUDIOVISUAL INFORMATION**, James Errico and Petrus Van Beek, inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

  
Dwight Bergquist-Moody

09/22/00 14:23:00